

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.

2846.1001-023

First Named Inventor or  
Application Identifier

David A. Edwards

Express Mail Label No.

EV 044391075 US

Title of  
Invention

AERODYNAMICALLY LIGHT PARTICLES FOR PULMONARY DRUG DELIVERY

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application, P.O. Box 2327  
Arlington, VA 22202

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages 38**  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets 4**
  - ☐ Fig. of the Drawings for Publication ☐
  - ☒ No Figure to be Published
4. ☒ Oath or Declaration **Total Pages 4**
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form
  - b. ☐ Paper Copy (identical to computer copy)
    - ☐ Pages
  - c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)
  - ☒ Assignee - The Penn State Research Foundation  
University Park, PA  
Massachusetts Institute of Technology  
Cambridge, MA
8. ☒ Power of Attorney ☒ 37 C.F.R. 3.73(b) Statement  
**Revocation**
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☐ Nonpublication Request (check parent application)
16. ☒ Other Remarks/Change of Correspondence Address

17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/194,068

Prior application information: Examiner: R. Bawa

Group Art Unit: 1619

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.

(Add standard Related Applications section with incorporation by reference to specification or update same)

### 18. CORRESPONDENCE ADDRESS

NAME	Customer No. 021005				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature	<i>Anne I. Craig</i>	Date	3/1/02
Submitted by Typed or Printed Name	Anne I. Craig	Reg. Number	32,976

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3  
m.m.  
7/25/02

Applicants: David A. Edwards, Giovanni Caponetti, Jeffrey S. Hrkach, Noah Lotan, Justin Hanes, Abdellaziz Ben-Jebria and Robert S. Langer

Continuation Application of

Application No.: 09/194,068

Filed: 371(c) date of April 8, 1999

For: AERODYNAMICALLY LIGHT PARTICLES FOR PULMONARY  
DRUG DELIVERY

Date: <u>3/1/02</u>
EXPRESS MAIL LABEL NO. <u>EV 044391075 US</u>

REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

This application is a continuation of Application No. 09/194,068, which is the U.S. National stage of International Application No. PCT/US97/08895, filed on May 23, 1997, with the completion of the §371(c) requirements on April 8, 1999, published in English, which claims priority to U.S. Application No. 08/655,570, filed May 24, 1996, now abandoned, and U.S. Application No. 08/739,308, filed October 29, 1996, now U.S. Patent No. 5,874,064.

A updated related applications paragraph has been added as the first paragraph in the specification.

----- The specification has been revised to incorporate amendments entered in the parent application. No new matter has been added. -----

Pursuant to 37 C.F.R. 1.63(d)(4), please note that a new Power of Attorney (a copy is attached hereto) was submitted on April 20, 2000 in parent Application No. 09/194,068.

Please send all correspondence in the above-identified continuation application to:  
Customer No. 021005; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to Anne I. Craig at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne I. Craig  
Anne I. Craig  
Registration No. 32,976  
Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: 3/1/02

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